Kuali Overview
Today’s Agenda

What is Kuali?

What’s in it?
  • Proposal Development
  • Conflict of Interest

What’s Changing?
  • Internal Routing
  • Attachments
  • Routing, Approvals and Workflow

About the Kuali Project
Welcome to Kuali!

UMBC is joining other USM institutions and installing **Kuali** – UMB, UMCP, UMCES, UB, UMUC, UMES, Towson University and Salisbury University.

A cloud based system, system-wide initiative annual license and thus becoming part of a USM-wide network. KualiCo [https://www.kuali.co/](https://www.kuali.co/)

Advantages of a Collaboration with USM Institutions

- sharing of resources (for implementation and operation),
- cost savings to implement and run the Kuali system, and
- enhanced support of multi-disciplinary and multi-campus integration of research.
Simplify Higher Ed Administration

Beautifully designed cloud software
General Benefits of Kuali

- **Integration**
  - USM
  - Compliance offices, Sub-recipients, MTA’s and NDA’s
  - Multiple UMBC Units
  - PS
- **Highly configurable**
  - Will grow with us
  - Entry or experienced user
- **Cloud Hosted by Kuali**
Pre-Award & Nonfinancial Post-Award Benefits

- Agreement Management
  - Negotiation tracking
  - Houses templates
  - Direct email to file
  - Non financial Agreements

- Greater system access & data integration (ORPC, OTD, Units, PI)

- Integrated Sub-award System

- Improved Relationships

- Key Word search
- Captures anticipated funding
- Control over data w/o financial impact
- Unit Level Reporting
- Paperless Office
- Automated notifications
Kuali at a glance

Proposal
- Proposal Dev & Budget
- Grants Gov.
- Routing & Workflow

SubAward
- Management
- Documents

Negotiations
- Events
- Correspondence

Award
- Award Budget
- Time & Money
- Award Management

Compliance
- COI
- IRB
- IACUC

Kuali Reports
By College, Dept, PI, Sponsor

Kuali Overview
Proposal Development

- Proposal Dev & Budget
- Grants Gov.
- Routing & Workflow
Kuali Proposals (Quality and Quantity)

- System to systems automated submission
- Proposal Tracking on Campus
- Automatic generation of all NIH R&R Forms including Sub information while you build your proposal
- Standard work space for proposal development
- Security at proposal level
- CITI Training Link

- Co-I Recording
- Dual routing
- Remove Duplicate Entry, additional forms and stores historical proposal info
- Cost share, F&A Waivers, Bios, Current & Pending, HR, Budgets
- Internal controls/data integrity
- Flexibility and Automatic Tracking
  - OSP Status when unit starts
  - Work on research while routing
  - Lock/mark components as final
  - Flex rejects (full, partial, etc.)
Kuali Researcher Main Menu
Creating a New Proposal

Create Proposal

* indicates required fields

Proposal Type: * New

Lead Unit: * 10071 - Biological Science

Activity Type: * Research

Project Dates: * 09/26/2017 to 09/25/2020

Project Title: * Kuali Research Biology Presentation Test Proposal

Sponsor: * 2 National Institutes of Health

Kuali Overview
Opportunity Search, linked, Req. Forms
PI Certification/Sign off and COI

Key Personnel

Search for and add key personnel

Add Personnel

Proposal Person Certification

Do you approve and concur with UMBC and federal requirements as related to the research described in this proposal? ③

- Yes
- No

Do you certify that 1) the statements within the application are true, complete, and accurate to the best of your knowledge; 2) you are aware that any false, fictitious, or fraudulent statements or claims may subject you to criminal, civil, or administrative penalties; and 3) you agree to accept responsibility for the scientific conduct of the project and to provide the required programmatic deliverables (e.g. progress reports) if a grant is awarded as a result of this application? ③

- Yes
- No

Is the PIPD aware of any participating faculty, staff, student or partnering institution actual, potential or perceived conflict of interest as described in UMBC Conflict of Interest definition: http://research.umbc.edu/conflicts-of-interest-and-commitment/ ③

- Yes
- No

Kuali Overview
## Proposal Budget Tool

### Budget #1: Budget Draft 1

**Budget Summary**

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<th>P1 (09/01/2017 - 09/05/2018)</th>
<th>P2 (09/01/2018 - 09/05/2019)</th>
<th>P3 (09/01/2019 - 09/05/2020)</th>
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Kuali Overview
## Budget Summary at a Glance

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Kuali Overview
Proposal Submission

Submit

Document was successfully saved.

Proposal Summary

- Title: Kuari Research Biology Presentation Test Proposal
- Principal Investigator: Philip J. Farabaugh
- Lead Unit: 10071 - Biological Science
- Activity Type: Research
- Proposal Number: 91
- Project Start Date: 09/26/2017
- Project End Date: 09/25/2020
- Include Subaward(s)?: No
- Sponsor Name: National Institutes of Health
- Sponsor Deadline Date
- Sponsor Deadline Type

Submit for Review  Ad Hoc Recipients  View Route Log  Cancel proposal  Delete Proposal  More Actions  Close

Kuali Overview
Proposal Actions
Kuali Reports
By College, Dept, PI, Sponsor
Sample Built in Proposal Reports

**Proposals by Sponsor**: What institutionally approved proposals have been submitted to one or more specific sponsors for funding? What is my involvement in one or more specific proposals that are being reviewed by sponsors?

**Proposals by Proposal Type**: What kinds of activities are supported by external funding, such as the amount of research vs. training programs? What trends do we see over different time periods?

**Investigator History**: For specific individuals, what is their current and past sponsored program activity?

**Proposals by Lead Unit**: What proposals have been institutionally approved by unit? What does sponsored program activity look like for the unit or units under my purview?

**Funding Status**: What proposals are currently funded? How much funding is projected? Direct and indirect cost likely to be generated?
Award Reports

**Active Awards:** What proposals are active currently? What are the award percentages received by Researchers across the entire institution?

**Awards by Principal Investigator:** What award information is specific to me?

**Awards by Sponsor:** I need a list of active awards by sponsor within each unit.

**Awards by Sponsor Type:** What are the categorical sources funding for our institution, by unit, school, and across the entire university? What funding trends do we see over different time periods?

**Awards by Award Type:** How do terms and conditions of award agreements look like for award types like contracts and gifts? What are the trends and success rates?

**Awards by Start or End Date:** When do institutional responsibilities start and end? If approval is needed for advance funding on a pending award, how can I determine when the expected sponsor funding authorization will begin?
Kuali Overview
Kuali Overview
Compliance Benefits with Kuali Research

Committee Management

• Creation & Maintenance of Membership
• Route Protocols/member review via system
• Automated access to Protocols, approvals, minutes
• Meeting Management
• Automation of approvals, notifications, renewals

Module/Protocol Management

• Protocols (IRB, IACUC) and COI
• Linked to proposals/awards in OSP modules
• Security follows OSP proposal level
• Linked to existing UMBC PI data
• Access at multiple levels
• Multiple users in one protocol
• Reduced PI and Staff time in:
  • Creation, Submission, Managing
• Customize for unique populations & research activities
• Paperless office
COI Financial Disclosures

Disclosures related to external funded proposals – PHS and Non-PHS
COI Financial Disclosures — Entities and Projects

Kuali Overview
COI Financial Disclosures - Certify

CERTIFICATION

Add any information or attachments for your annual disclosure. Drafts and final versions of Conflict of Interest Management Plans (if required) may be attached here. Then please certify and submit your disclosure for review.

The Investigator agrees to abide by the UMBC Interim Policy on Individual Financial Conflicts of Interest in PHE Research as well as the UMBC Policy on Individual Financial Conflicts of Interest and certifies that the information provided is true to the best of his/her knowledge. The Investigator understands and agrees that if there is a change (such as an acquisition of a significant financial interest) to this information, that he/she must submit a new disclosure within 30 days of that change.

I acknowledge that it is my responsibility to disclose any new SIGNIFICANT FINANCIAL INTERESTS obtained during the term of this disclosure. I certify that this is a complete disclosure of all my financial interests related to the projects therein.
Protocols - next step for compliance
What’s Changing - Research Support & Administration

UMBC

Kuali Overview
What’s in it for Me?

- Kuali replaces People Soft system for proposal tracking, review, and approvals
- Proposals, after submission, will be automatically linked to Institutional Proposals and Award
- Kuali Research will be the UMBC System of Record for Proposals and Awards
- All Proposals eventually will be routed and approved electronically
- PI Certification on-line, anywhere, anytime
- Attachments and History available electronically
Proposal Process in Kuali – Same Business Rules

- Who are the PI and Key Persons?
- What Department, Center will be responsible and will receive credit?
- Budget, Cost Share, Under-recovery Costs?
- Compliance Considerations?
- Space & Equipment?

Kuali Overview
About the Kuali Project
Kuali Project Organization Chart

Vice President for Research Office

Kuali Proposal User Group

Kuali Steering Committee

COI/Protocols User Group
UMBC Kuali Steering Committee

CAHSS  Linda Brown (Deb Geare)
       Carlo DiClemente, Sarah Chard, Rachel Brubaker
Centers  Mary Dawson
CNMS  Michael Summers
COEIT  Gina Fischer & Lee Blaney
CNMS  Kathleen Hoffman
DoIT  Anthony Finneran, Stacy Long
OCGA  Aimee Howell
OVPR  Stan Jackson, Tim Sparklin, Chanell Rome & Dean Drake
Kuali Pilot Groups

- **Joint Center for Earth Systems Technology (JCET)**
- **College of Engineering and Information Technology (COEIT Units, in order of the implementation)**
  - Chemical Engineering, Computer Science, Electrical Engineering, Information Systems and Mechanical Engineering
  - Center for Women in Technology (CWIT), Center for Cyber Security, Center for advanced Sensor Technology (CAST)
Kuali Pilot Groups (Continued)

• **College of Natural and Mathematical Sciences (CNMS)** - Biology

• **Hilltop Institute** - Kuali Unfunded Agreements
## Kuali Phase 1 User Group

<table>
<thead>
<tr>
<th>OSP</th>
<th>Cameron McAdams and Stan Jackson</th>
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<tbody>
<tr>
<td>COEIT</td>
<td>Gina Fischer</td>
</tr>
<tr>
<td>VPR</td>
<td>Dean Drake<em>and Chanell L Rome</em></td>
</tr>
<tr>
<td>ORPC</td>
<td>Toye Jenkins<em>and Tim Sparklin</em></td>
</tr>
</tbody>
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*Persons that are also members of the COI/PROTOCOLS User Group*
Kuali Implementation Schedule – Phase I

- Outreach and Awareness
  2016-present

- Pilot and Rollout
  Sept 2017 – Dec 2017

- Configuration and Testing
  July 2016 – June 2017

- Phase I Closeout
  Feb 2018

Kuali Overview
What Kuali Training Do I Need?
Kuali Overview

Anyone in Research or Supporting Researchers
- Kuali Overview
- All About Proposals

Asst. Deans and Department Heads
- Kuali Overview
- All About Proposals
- Routing, Approval & Workflow

Dept Administrators /Proposal Creators
- Proposal Development
- Proposal Budget

Principal Investigators & Key Persons
- Kuali Overview
- COI Training
- PI Certification Demo

UMBC

1-hour Demo

½ Day In-Class Training
Kuali Training and Documentation Available

Website links: [http://my.umbc.edu/groups/kualiresearchatumbc](http://my.umbc.edu/groups/kualiresearchatumbc)
[http://research.umbc.edu/kuali-research-at-umbc/](http://research.umbc.edu/kuali-research-at-umbc/)

Contact: Stanley Jackson, Office of Sponsored Programs  or  Chanell Rome, eRA Business Analyst, OVPR