	REPORT OF INVENTIONS AND SUBCONTRACTS												Form Approved OMB No. 9000-0095			
(Pursuant to "Patent Rights" Contract Clause) (See Instructions on back)												Expires Oct 31, 2004				
The public reporting burden for this collect reviewing the collection of information. Communications Directorate (9000-0095). OMB control number.	Send comments regardi	ng this burden est	timate or any other	aspect of this	collection of info	rmation, incl	luding suggestions	for reducin	g the bure	den, to the	e Departm	ent o	f Defense, Exe	cutive Services and		
PLEASE DO NOT RETURN YOUR												1				
1.a. NAME OF CONTRACTOR/SUBC	c. CONTRACT N	NUMBER	2.a. NAME	OF GOVERNME	NT PRIME CONTRACTOR		c. CONTRACT NUMBER			3.	TYPE OF REF	PORT (X one)				
			r										a. INTERIM	b. FINAL		
b. ADDRESS (Include ZIP Code)		d. AWARD DATE	b. ADDRESS	b. ADDRESS (Include ZIP Code,						d. AWARD DATE (YYYYMMDD)		4. REPORTING PERIOD (YYYYMMDD)				
		(YYYYMMDD)										a. FROM				
											b. TO					
			S	ECTION I - S	SUBJECT INVE	NTIONS										
5. "SUBJECT INVENTIONS" REQUI	RED TO BE REPORTEI	D BY CONTRAC	TOR/SUBCONTR	ACTOR (If "No	one," so state)											
					DISCLOSURE NUMBER,		ELECTION TO FILE PATENT APPLICATIONS (X)				CONFIRMATORY INSTRUMENT OR ASSIGNMENT FORWARDED					
NAME(S) OF INVENTO (Last, First, Middle Ini	TITLE OF INVENTION(S)				PATENT APPLICATION SERIAL NUMBER OR			d.		TO CONTRACTING OF						
						PATENT NUMBER		(1) UNITE	D STATES	S (2) FOREIGN		e.				
a.		b.					C.		(b) NO	(a) YES	(b) NO		(a) YES	(b) NO		
									1							
f. EMPLOYER OF INVENTOR(S) NOT EMP	LOYED BY CONTRACTO	R/SUBCONTRACTO	DR			g. ELECTED FOREIGN COUNTRIES IN WHICH A PATENT APPLICATION WILL BE FILED										
(1) (a) NAME OF INVENTOR (Last, First, M	(2) (a) NAME OF INVENTOR (Last, First, Middle Initial)				(1) TITLE OF INVENTION (2) FOREIGN CO					IGN COUN	INTRIES OF PATENT APPLICATION					
(b) NAME OF EMPLOYER		(b) NAME OF EMPLOYER														
(c) ADDRESS OF EMPLOYER (Include ZIP Code)		(c) ADDRESS OF EMPLOYER (Include ZIP Code)														
		SEC	CTION II - SUB	CONTRACT	S (Containing	a "Patent	Rights" clause,)								
6. SUBCONTRACTS AWARDED BY	CONTRACTOR/SUBC	ONTRACTOR (//	f "None," so state)													
NAME OF SUBCONTRACTOR(S)		CURC	ONTRACT	FAR "PATENT	RIGHTS"						SUBCONTRACT DATES (YYYYMMDD)					
ADDRESS (//		clude ZIP ("ode)		MBER(S)	d.	r			N OF WORK TO BE PERFORMED DER SUBCONTRACT(S)					:		
a.	ь.			c .	(1) CLAUSE NUMBER	(2) DATE (YYYYMM)		e.				(1) AWARD	(2) ESTIMATED COMPLETION		
			1	SECTION I	II - CERTIFICA	TION										
7. CERTIFICATION OF REPORT BY	BUSINESS or NONPROFIT ORGANIZATION															
I certify that the reporting par Inventions" have been reported.	rty has procedures	for prompt ide	entification and	timely discl	osure of "Sub	ject Inven	tions," that suc	ch proce	dures ha	we been	followe	ed ar	nd that all "	Subject		
a. NAME OF AUTHORIZED CONTRACTOR/SUBCONTRACTOR b. TITLE			TITLE				c. SIGNATURE					d 「	DATE SIGNED			
OFFICIAL (Last, First, Middle Initial)																

GENERAL

This form is for use in submitting INTERIM and FINAL invention reports to the Contracting Officer and for use in reporting the award of subcontracts containing a "Patent Rights" clause. If the form does not afford sufficient space, multiple forms may be used or plain sheets of paper with proper identification of information by item number may be attached.

An INTERIM report is due at least every 12 months from the date of contract award and shall include (a) a listing of "Subject Inventions" during the reporting period, (b) a certification of compliance with required invention identification and disclosure procedures together with a certification of reporting of all "Subject Inventions," and (c) any required information not previously reported on subcontracts containing a "Patent Rights" clause.

A FINAL report is due within 6 months if contractor is a small business firm or domestic nonprofit organization and within 3 months for all others after completion of the contract work and shall include (a) a listing of all "Subject Inventions" required by the contract to be reported, and (b) any required information not previously reported on subcontracts awarded during the course of or under the contract and containing a "Patent Rights" clause.

While the form may be used for simultaneously reporting inventions and subcontracts, it may also be used for reporting, promptly after award, subcontracts containing a "Patent Rights" clause.

Dates shall be entered where indicated in certain items on this form and shall be entered in six or eight digit numbers in the order of year and month (YYYYMM) or year, month and day (YYYYMMDD). Example: April 1999 should be entered as 199904 and April 15, 1999 should be entered as 19990415.

1.a. Self-explanatory.

- 1.b. Self-explanatory.
- 1.c. If "same" as Item 2.c., so state.
- 1.d. Self-explanatory.

2.a. If "same" as Item 1.a., so state.

2.b. Self-explanatory.

2.c. Procurement Instrument Identification (PII) number of contract (DFARS 204.7003).

2.d. through 5.e. Self-explanatory.

5.f. The name and address of the employer of each inventor not employed by the contractor or subcontractor is needed because the Government's rights in a reported invention may not be determined solely by the terms of the "Patent Rights" clause in the contract.

Example 1: If an invention is made by a Government employee assigned to work with a contractor, the Government rights in such an invention will be determined under Executive Order 10096.

Example 2: If an invention is made under a contract by joint inventors and one of the inventors is a Government employee, the Government's rights in such an inventor's interest in the invention will also be determined under Executive Order 10096, except where the contractor is a small business or nonprofit organization, in which case the provisions of 35 U.S.C. 202(e) will apply.

5.g.(1) Self-explanatory.

5.g.(2) Self-explanatory with the exception that the contractor or subcontractor shall indicate, if known at the time of this report, whether applications will be filed under either the Patent Cooperation Treaty (PCT) or the European Patent Convention (EPC). If such is known, the letters PCT or EPC shall be entered after each listed country.

- 6.a. Self-explanatory.
- 6.b. Self-explanatory.
- 6.c. Self-explanatory.
- 6.d. Patent Rights Clauses are located in FAR 52.227.
- 6.e. Self-explanatory.
- 6.f. Self-explanatory.

7. Certification not required by small business firms and domestic nonprofit organizations.

7.a. through 7.d. Self-explanatory.